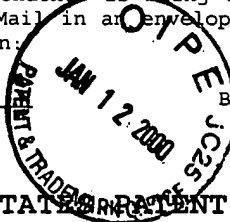


SECTION #

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 1.7.00



By: [Signature]

Docket No. 8600-0197.30

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1A  
3

IN RE APPLICATION OF:

Pehr B. Harbury et al.

EXAMINER: Unknown

SERIAL No.: 09/421,422

ART UNIT: 1643

FILED: October 19, 1999

FOR: DNA-TEMPLATED COMBINATORIAL  
CHEMISTRY

Response to Notice to File Missing  
Parts of Application

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. In response to the Notice to File Missing Parts mailed November 16, 1999 (copy enclosed), applicant submits the following:

- ☐ A Petition for \*-Month Extension of Time
- ☒ An Executed Declaration of Inventorship
- ☒ A Power of Attorney by Assignee
- ☒ A Verified Statement Claiming Small Entity Status
- ☐ A Preliminary Amendment
- ☐ An Information Disclosure Statement, Form 1449, and references (if appropriate)
- ☐ A Sequence Listing printout, floppy diskette, Matching Declaration under 37 CFR §1.821, and copy\* of Sequence Listing Notice

- ☒ a copy of an assignment recordation cover sheet (Form PTOL-1595) for assignment(s) being filed separately.

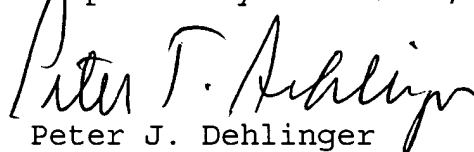
2. Conditional Petition for Extension of Time

Applicant petitions for an Extension of Time if necessary for timely filing of this Response.

3. Fee Payment

- ☒ Enclosed is a check for \$575.00 in payment of the basic filing fee (\$380.00 + \$130.00 in additional claim fees), and the surcharge for late filing of declaration or oath (\$65.00).
- ☐ Please charge the above fee(s) to Deposit Account No. 04-0531; this paper is provided in triplicate.
- ☒ Please charge any underpayment for timely filing of this Response to Deposit Account No. 04-0531.

Respectfully submitted,

  
Peter J. Dehlinger  
Registration No. 28,006

Date: 1-7-00

**Correspondence Address:**

Dehlinger & Associates  
P.O. Box 60850  
Palo Alto, CA 94306  
Phone: (650) 324-0880  
Customer No. 22918



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/421,422	10/19/99	HARBURY	P 8600-0197.30

DEHLINGER & ASSOCIATES  
P O BOX 60850  
PALO ALTO CA 94306-0850

0262/1116



NOT ASSIGNED

1643

DATE MAILED:

11/16/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 450.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 700.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ 2100.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☒ 9. OTHER: Page 3 of 10 is missing

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Shirley H. T. G.  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**BEST AVAILABLE COPY**

09/421,422  
0000049  
09/421,422  
300.00  
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130.00  
01/14/2000 ASWALE  
FC:201  
FC:205  
FC:204